

No. 2017/CE-I/WP/2018-19/1pt.

New Delhi, dated 21.12.2023

To,
As per list attached.

Sub: Procedure to be followed for sanction of itemized Projects against the Umbrella Projects under different Plan Heads.

Ref: Board's letters of even No. dated 22.03.2018, 13.06.2018, 19.07.2018, 30.09.2019, 14.10.2022, 27.10.2022 and 30.01.2023.

- 1.0 Objective:** The procedure for sanction of Umbrella and itemized Projects against the Umbrella Projects was issued vide Board's letter dated 22.03.2018. Subsequently various instructions have been issued carrying modification in certain provisions vide letters under reference. The existing procedure has been reviewed and accordingly, a consolidated procedure order including the earlier instructions with certain amendments is being issued through this letter.
- 2.0 Applicability:** These instructions are applicable for the projects under the Umbrella Projects for all plan heads other than PH-21-Rolling Stock and PH-41- Machinery and Plant.
- 3.0 Currency of sanction of Umbrella Projects:** The currency of Umbrella Projects shall be valid for a period of 02 years (the budget year for which the umbrella has been is sanctioned plus one year).

For illustration:

The Umbrella sanctioned under any plan head for Budget 2024-25 will be treated as lapsed after 2 years i.e. after 31/03/2026.

However, as one time measure to ensure smooth transition the currency of Umbrella Works of Financial Year 2022-23 & 2023-24, under Plan Head 11, 14, 15, 31 & 35 shall also be valid for a period of 02 years.

4.0 Procedure:

- 4.1** For Projects spreading over more than one Zonal Railway/PU, Executive Director/Additional Member/PED of nodal directorate in consultation with other concerned directorates, if any, shall distribute the total cost and outlay provided in Pink Book against Umbrella Project among respective Railways/PUs on need basis and not on pro-rata division among Zonal Railways/PUs. The Railway-wise distribution of Umbrella Project shall be communicated to the Zonal Railways/PUs by respective Plan Head nodal Dte. A copy of the same may be endorsed to Budget Branch. The portion of

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an Umbrella Project allotted to a particular Railway/PU shall be termed as a Sub-Umbrella which will be a ceiling for Zonal Railways/PUs to propose new Projects. On IRPSM, it will be linked to main umbrella only.

4.2 General Manager of Zonal Railways/PU can approve Projects costing upto Rs.2.5 crore (or sanctioned limit) each against the individual umbrella or Sub Umbrella of the concerned Railway, as the case may be. For the Projects costing beyond Rs.2.5 crore, proposals will be sent by Zonal Railway to Board with concurrence of associate finance and personal approval of General Manager.

4.2.1 General Managers of Zonal Railways shall send proposal of Projects on IRPSM to Board's office. All the proposals for sanction of itemized Projects costing above Rs.50 crore in each case should accompany with DPR containing Detailed Estimate (except Track Renewals Planhead). The DPR shall be submitted in hard copy to the concerned Executive Director in Board's office. The DPR shall also contain the requirement of additional manpower for operation & maintenance of assets so created.

4.2.2 In case of New Line (plan head-11), Gauge Conversion (plan head-14), Doubling (plan head-15) & Railway Electrification (plan head-35), the list of projects to be taken up shall be communicated to the Railways by Board's office for processing. Board can also sanction projects costing less than Rs 50 Cr on its own which will be communicated through IRPSM.

4.2.3 In case of Track Renewal Projects shall be proposed by Zonal Railway with detailed justification & concurrence of associate finance and finally Projects shall be shortlisted by Nodal ED and approved by Board.

4.2.4 In case of Computerisation Projects (plan head-17), Nodal Directorate in Board's office shall shortlist the Projects and arrange for approval of Board following due procedure.

4.3 Inclusion of the itemized Projects in the Pink Book:

The Umbrella would appear in the Pink Book. However, the Sub Umbrella will not appear in the Pink Book. After sanction of any itemized Project under any Umbrella /Sub-umbrella, the itemized Projects and the balance Umbrella provision shall be included in the Pink Book as under:

a. Itemised Projects:

The sanctioned itemized Projects costing more than Rs. 2.5 crore under any Umbrella /Sub-umbrella shall be shown in the Pink Book as separate line entry. Itemised Projects costing upto Rs. 2.5 Cr shall be shown in the Law Book as separate line entry.

b. Umbrella of any Planhead:

The cost of Umbrella shall be reduced by the total cost of the itemized Projects sanctioned under that Umbrella and the balance portion of the Umbrella would be shown in the Pink Book for two years. After two financial years Umbrella would

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lapse and hence would not appear in the Pink Book. Sub-Umbrella will also not appear in the Pink Book.

c. In the case of Sub-Umbrella:

Planhead coordinator shall communicate Railway wise allocation of sub-umbrella i.e, ceiling for Zonal Railways to propose new Projects. On IRPSM, it will be linked to main umbrella.

- 4.4.** Post these instructions, fields like Plan head, sub head, allocation, name of Project, sanctioned cost, outlays shall be communicated from Pink Book (IPAS) only. These fields will be integrated with IRPSM and would be fetched automatically in IRPSM. However, UWID will be generated by IRPSM through a web-service immediately once data is shared by Pink Book (IPAS). The individual itemized works carved out of the Umbrella work/Sub-umbrella work should exhibit the UWID of the parent Umbrella work.

4.5 Project proposed by Divisional Gati Shakti Unit(s) requiring sanction of Railway Board (under Gati Shakti Tag):

Under Gati-Shakti Tag, proposal will be sent directly to the Gati-Shakti Unit/ Nodal Executive Director of Railway Board with concurrence of the associate finance of the Gati-Shakti Unit of the division, and with the administrative approval of the General Manager.

4.5.1 Project proposed by Divisional Railway Manager (DRM) for sanction of Railway Board, costing up to Rs. 10 Cr (under DRM Priority works Tag):

Under DRM Priority Works tag on IRPSM, proposal will be sent directly to the Nodal Executive director of Railway Board with concurrence of associate finance of the division and duly forwarded by General Manager on IRPSM portal.

- 4.6 Once the tentative outlay is communicated against Umbrella Projects, Railway may process the proposal under Umbrella Project through** facility already available in IRPSM, with the following steps:

(i) To start proposal under an Umbrella in IRPSM under 'New Proposal', select the checkbox for 'Process under GM's Umbrella Works'. The "Name of Umbrella Project", under which the proposal is to be sanctioned, will be required while forwarding the proposal to GM/PCE (proposal may be sent up to CE/P&D).

(ii) After step (i) above, proposal can be processed for Shortlisting, Notings, Justifications, Vetting etc within Divisional and Zonal Units in a routine way.

- 4.7** Zonal Railway shall ensure that the total cost of various Projects which are being sanctioned under the Umbrella Project does not exceed the total cost of the respective Umbrella Project.

However, once an itemized project has been sanctioned, it will be a project independent of original umbrella in terms of cost and outlay for itemized projects getting sanctioned

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with effect from 01.04.24. The revision in cost as well as outlay for such projects will be governed by extant guidelines.

5.0 In the year subsequent to the lapse of umbrella, Budget Provision for itemized sanctioned Project by GM appearing in LAW, shall be provided in the Pink Book as a lump sum, referring parent Umbrella.


6.0 Abbreviations on IRPSM:

- i) U – Umbrella, Single Railway.
- ii) UM – Umbrella, Multi Railway.
- iii) US – Sub-Umbrella.

7.0 The annual works programme will commence from 1st July every year.

8.0 These instructions shall be applicable from Financial Year 2024-25.

9.0 This issues with concurrence of Finance Directorate and the approval of Board (MI, MF, CRB & CEO).


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निदेशक सिविल इंजी.(जी)/रेलवे बोर्ड

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No. 2017/CE-I/WP/2018-19/1pt.

New Delhi, dated 21.12.2023

Copy forwarded for information to:

- i. The PFAs, All Indian Railways.
- ii. Dy. Comptroller and Auditor General of India (Railways), Room No. 224, Rail Bhawan, New Delhi.



For Member Finance

LIST FOR DISTRIBUTION (Letter No. 2017/CE-I/WP/2018-19/1pt. dated 21.12.2023)

1. General Managers, All Indian Railways & Production Units
2. General Manager (Con), N.F. Railway, Guwahati
3. General Manager /CORE, Allahabad.
4. Chief Administrative Officers (Con), All Indian Railways (Except N.F. Railway)
5. Principal Chief Engineers, All Indian Railways

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1. CAO, COFMOW, Tilak Bridge, New Delhi
2. Principal CAO, Diesel Loco Modernization Works, Patiala (Punjab)
3. CAO (Workshop Projects), Chamber Bhawan, Judge's Court Road, Anta Ghat, Patna-800001, Bihar

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8. MD, RIDA, Near Safdarjung Railway Station, Moti Bagh, Phase-I, New Delhi- 110021
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13. CMD, IRCTC Ltd., B-148, 11th Floor, Statesman House, Barakhamba Road, New Delhi 110001
14. CMD, Braithwaite & Co. limited, 5 Hide Road Kolkata 700043.


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2. General Secretary, AIRF, Rail Bhawan, New Delhi
3. General Secretary, NFIR, Rail Bhawan, New Delhi
4. General Secretary, IRPOF, Rail Bhawan, New Delhi
5. General Secretary, FROA, Rail Bhawan, New Delhi
6. General Secretary, AIRPA, Rail Bhawan, New Delhi
7. General Secretary, AISC & STREA, Rail Bhawan, New Delhi
8. The Secretary, RBSS, Group (A) Offices Association, Rail Bhawan
9. The Secretary, RBSS, Group (B) Offices Association, Rail Bhawan
10. General Secretary, RBSSS Association, Rail Bhawan
11. The Secretary, RBMSA, Rail Bhawan
12. The Secretary, Railway, Group (D) Employees Association, Rail Bhawan

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1. Concerned PSO for kind information of Chairman cum CEO, M/Infra, M/T&RS M/O&BD, M/Finance, Railway Board
2. Adv./MR, EDPG/MR, OSD/MR, OSR(Co-ord)/MR
3. Chief Vigilance Officers, All Indian Railways.
4. DG(RHS), DG(RPF), AM(CE), AM(Works), AM(B), AM(Elec.), AM(RS), AM(ME), AM/Tele, AM/C&IS, AM/Sig., AM(Plg.), PED(B&S), PED(Vigilance), PEDCE(P), EDCE(G), EDTK(M&MC), EDCE(B&S), EDF(X)-I, EDF(X)-II, ED(Works), EDW(Plg.), ED/Project(Mon.), ED(L&A), ED(PSU), EDVE, ED(Safety), ED(Sig. Dev.), ED(Tele), EDRS(G), EDRE, EDEE(G), EDFE, EDE(N), ED(Accounts), ED/T&MPP, EDME(Chg.), EDME(Frt.), ED/Plg., JS(conf), JS(P), JS(G), JS(D), Vigilance-III, Vig(Conf) of Railway Board.


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