Sub: Disposal of long pending D&AR cases

1.0 Large number of D&AR cases pending for more than 06 months is a matter of serious concern. Except couple of exceptions, about 185 cases of Major Penalty and about 85 cases of Minor Penalty proceedings are pending on an average on each of the zonal railways for more than 6 months. These do not include the cases arising out of vigilance investigation, safety violation or other statutory considerations.

2.0 Sub Rule (7) of Rule 9 of The Railway Servants (Disciplinary & Appeal) Rules, 1968 provides that the charged official shall submit his written statement of defence within 10 days of receipt of the copy of the article of charges, the statement of the imputation of misconduct or misbehavior and a list of documents and witnesses by which article of charge is proposed to be sustained.

3.0 Railway Board, from time to time, keep emphasizing the need to reduce delays in finalizing D&AR cases but such unwarranted delays defeat the very purpose of this corrective mechanism. It is needless to say such delays are likely to have a profound effect on personal and professional behavior of the employee.

4.0 Executives are therefore, expected to process D&AR cases seriously and adhere to a reasonable time line or timeline advised by Board from time to time for taking such cases to logical conclusion.

5.0 In order to liquidate large number of long pending (more than 6 months for Major Penalty proceedings & more than 3 months for Minor Penalty proceedings) D&AR cases, following course of action may be taken as one time measure:

(i) GMs/DGs/DRMs/CWMs/Independent Heads of Units shall review all Major Penalty proceedings that are more than 6 months & Minor Penalty cases that are more than 3 months old.

(ii) All such cases should be finalized / taken to logical conclusion by 15th December 2018 by the respective Disciplinary Authority (DA).

(iii) GMs/DGs/DRMs/CWMs/Independent Heads of Units shall review all such cases that could not be finalized by 15th December 2018 by the DA.

6.0 After a careful review of all such cases that could not be finalized by 15th December 2018, the Unit Head may take following actions:

(i) Minor Penalty cases that are more than 3 months old and not finalized by 15th December 2018 should be treated as closed.

(ii) For Major penalty cases that are more than 6 months old, the DA shall record the reasons for delay. If the delay is attributable to the charged official, DA may take ex-parte decision following the notice procedure as per “The Railway Servants (Disciplinary & Appeal) Rules, 1968” and if delay is not on account of the charged official, the DA should process for closure of the case.
7.0 This **one time measure is not applicable** to the cases arising out of safety violation, vigilance investigation, and compliance to court orders and due to statutory compliances or which need to be pursued further in the considered opinion of the Unit Head.

This issues with the approval of the Board (MS & CRB).

(Sudheer Kumar)
Principal Executive Director
Transformation

Copy to

1. The ADAI (Railways), New Delhi
2. The Director of Audit, All Indian Railways
3. The Director, Indian Railway Institute of Civil Engineering, Tune.
4. The Director, Indian Railway Institute of Mechanical and Electrical Engineering, Jamalpur.
5. The Director, Indian Railway Institute of Signal Engineering and Telecommunications, Secunderabad.
6. The Director, Indian Railway Institute of Electrical Engineering, Nasik.
7. The Executive Director, Indian Railways Centre for Advanced Maintenance Technology, Gwalior.
8. The Director, Indian Railway Institute of Transport Management, Lucknow.
9. The Registrar, Railway Claims Tribunal, Delhi.
10. The General Secretary, IRCA, New Delhi.
12. The Secretary, Railway Rates Tribunal, Chennai.
14. Managing Director, CRIS, Chanakyapuri, New Delhi
15. Chief Managing Directors/Managing Directors, RITES, 1RRTC, RVNL, CONCOR, RailTel, IRCON, IRFC & KRCL.

Copy to:

1. The Genl. Secy., AIRF, Room No. 248, & NFIR Room No. 256-C, Rail Bhavan
2. The Secy. Genl., IRPOF, Room No. 268. FROA, Room No. 256-D & AIRPFA, Room No. 256-D Rail Bhavan

Copy to:

1. PS to MR, MOS(S), MOS(G)
2. CRB, FC, ME, MTR. MRS, MS, MT, SECY, DG(S&T), DG (RHS), DG (RPF), DG (Stores), DG(Pers)
3. All AMs, Principal Executive Director & Executive Directors of Railway Board

(Sudheer Kumar)
Principal Executive Director
Transformation